

## **SOP: IRB Records Retention**

### **1 PURPOSE**

- 1.1 This procedure establishes the process to retain IRB records.
- 1.2 The process begins each year in June.
- 1.3 The process ends when records that no longer need to be retained are destroyed.

### **2 REVISIONS FROM PREVIOUS VERSION**

- 2.1 None

### **3 POLICY**

- 3.1 Protocol files are to be retained as long as required by law and then destroyed.
- 3.2 All records not in protocol files are retained indefinitely.
- 3.3 Records may be maintained in printed form or electronically.
- 3.4 Protocols in which there was no subject enrollment or no research was conducted are to be retained the same as protocols where research was conducted.
- 3.5 All records for research conducted or funded by a Common Rule department or agency are to be accessible for inspection and copying by authorized representatives of that agency at reasonable times and in a reasonable manner.
- 3.6 All records for research subject to FDA regulations are to be accessible for inspection and copying by authorized representatives of FDA at reasonable times and in a reasonable manner.

### **4 RESPONSIBILITIES**

- 4.1 IRB staff members carry out these procedures.

### **5 PROCEDURE**

- 5.1 Destroy all other protocol files when the protocol has been closed, withdrawn, or terminated more than three years unless otherwise required by law.
  - 5.1.1 In the case of multi-center research, three years is referenced to the organization's involvement in the research, not the entire study.

### **6 MATERIALS**

- 6.1 None

### **7 REFERENCES**

- 7.1 AAHRPP elements I.1.A, I-9, II.5.A, 11.5B